

# Modeling and Performance of a 100-Element pHEMT Grid Amplifier

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**Abstract**—A 100-element hybrid grid amplifier has been fabricated. The active devices in the grid are custom-made pseudomorphic high electron mobility transistor (pHEMT) differential-pair chips. We present a model for gain analysis and compare measurements with theory. The grid includes stabilizing resistors in the gate. Measurements show the grid has a peak gain of 10 dB when tuned for 10 GHz and a gain of 12 dB when tuned for 9 GHz. The maximum 3-dB bandwidth is 15% at 9 GHz. The minimum noise figure is 3 dB. The maximum saturated output power is 3.7 W, with a peak power-added efficiency of 12%. These results are a significant improvement over previous grid amplifiers based on heterojunction bipolar transistors (HBT's).

## I. INTRODUCTION

QUASI-OPTICAL amplifiers combine the output powers of many solid-state devices in free space, eliminating the losses associated with waveguide or transmission-line combiners. The first quasi-optical amplifier was a 25-element grid amplifier [1]. A grid amplifier is an array of closely-spaced differential pairs of transistors. Fig. 1 shows the approach. A horizontally polarized input beam excites rf currents on the input leads of the grid. This drives the transistor pair in the differential mode. Currents on the output leads produce a vertically polarized output beam. Metal-strip polarizers provide independent tuning of the input and output circuits. Other types of quasi-optical amplifiers using patch antennas [2]–[4], back-to-back integrated horn antennas [5], [6], folded slot antennas [7], and probe antennas [8] have been demonstrated. The largest number of devices have been incorporated in a 100-element HBT grid amplifier [9].

Recently, pHEMT technology has developed rapidly. Millimeter-wave pHEMT's are capable of high gain, high output powers, and low noise figure, making them the device of choice for high-frequency applications [10]–[12]. Here we

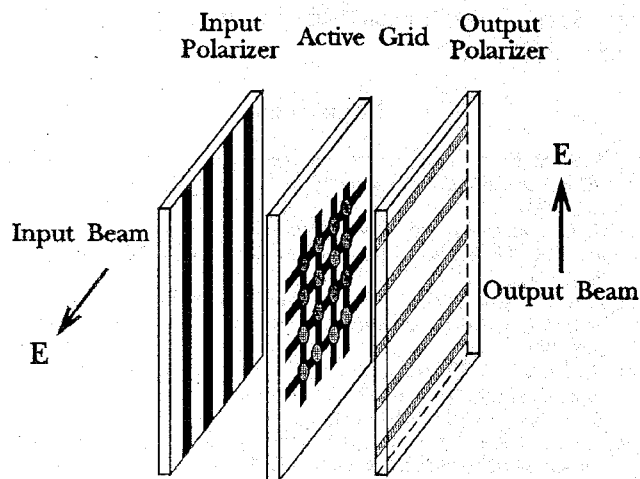


Fig. 1. A grid amplifier. A horizontally polarized input beam is incident from the left. The output beam is vertically polarized and is radiated to the right. The polarizers independently tune the output and input circuits.

report on the modeling and performance of a 100-element X-band grid amplifier using pHEMT differential-pairs. A photograph of the assembled grid is shown in Fig. 2.

## II. DEVICE DESCRIPTION

The differential-pair chips were fabricated by Lockheed Martin Laboratories. The active devices are 0.1- $\mu\text{m}$  Al-GaAs/InGaAs/GaAs pHEMT's. The total gate width per transistor is 100  $\mu\text{m}$  distributed among four fingers. The gates were defined by an electron beam direct write system and a bi-level resist. The pHEMT epitaxial structure is a double-doped heterostructure optimized for power. Further details about the devices can be found in [10].

The differential pair chip layout is shown in Fig. 3. The sources of two pHEMT's are tied together to form a differential pair. Unlike the HBT's in previous grids [9,14], the control terminal can be externally biased. The 1-k $\Omega$  resistor allows this gate control bias to pass from cell to cell. This is possible because the gate draws very little bias current. The 0.5-pF capacitor and 2-k $\Omega$  resistor are feedback elements to stabilize the pHEMT's. The two 100- $\Omega$  source resistors are intended to reduce common-mode gain. These resistors should not effect the differential-mode performance, but will reduce the overall efficiency of the grid amplifier.

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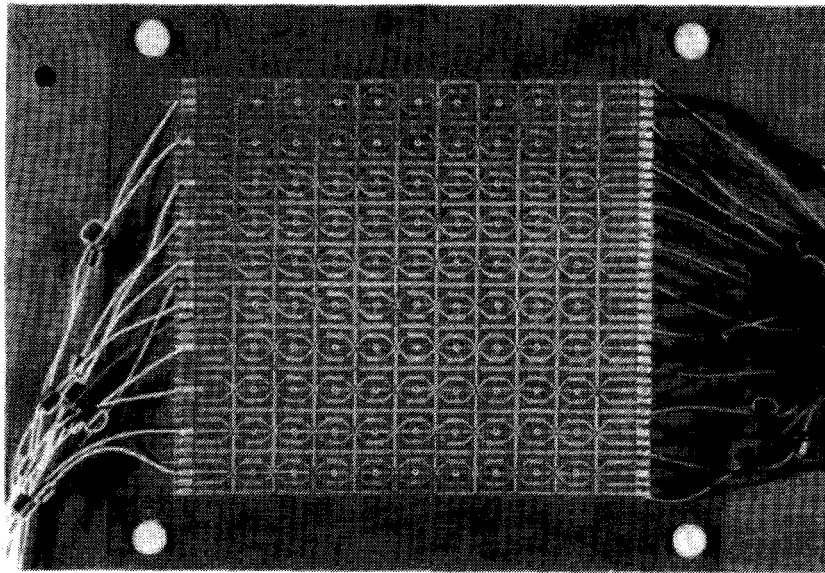


Fig. 2. Photograph of the amplifier grid. The grid is a  $10 \times 10$  array of pHEMT differential pairs. The active area of the grid is 7.3 cm on a side.

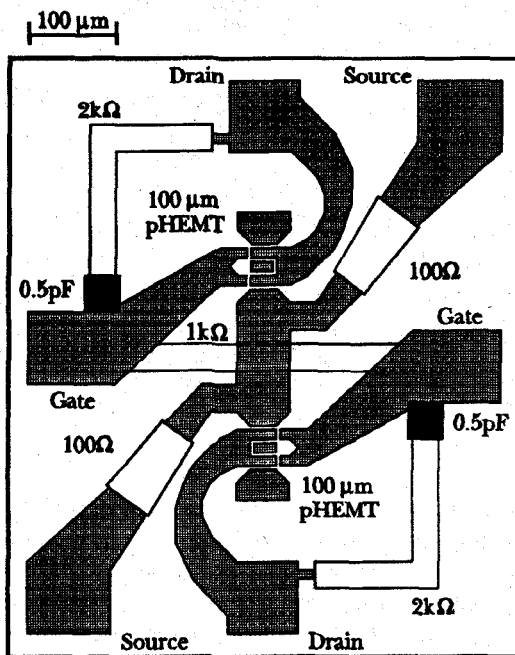


Fig. 3. The differential pair chip layout.

### III. GRID AMPLIFIER MODELLING

An important advantage of grid amplifiers is that the unit cell primarily determines the driving-point impedances seen by the device, while the power scales with the grid area. This allows one to optimize for gain and noise figure by the choice of the unit cell and to independently select the grid size to meet the total power requirement. Previous grid amplifiers [1], [9] were designed empirically. We have developed a model for the grid amplifier that predicts its performance [13], [14].

The unit cell is shown in Fig. 4. The cell size is 7.3 mm on a side. The input beam is coupled to the gates of the transistors through the horizontal gate leads. The output beam

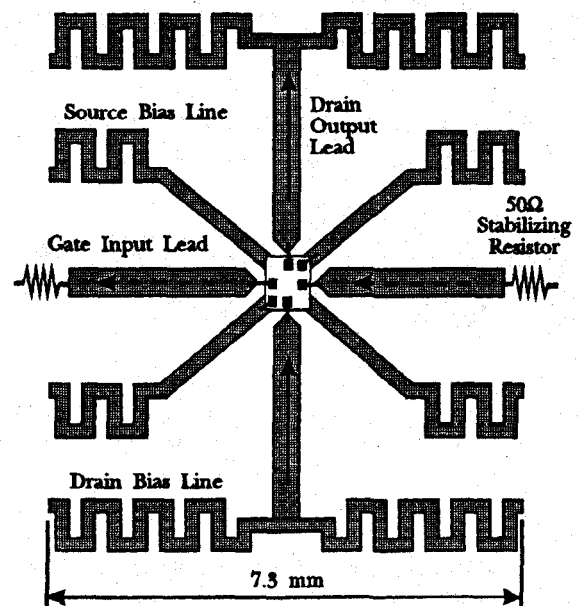


Fig. 4. The grid amplifier unit cell. The width of the input and output leads is 0.4 mm. The width of the meandering bias lines is 0.2 mm. Arrows indicate the directions of rf currents.

is re-radiated from the vertical drain leads. Bias to the drain and source is provided by the thin meandering lines. Gate bias is provided along the horizontal gate leads. The resistors in the gate leads suppress common-mode oscillations and will be discussed later.

The assembled grid amplifier tuned for 10 GHz is shown in Fig. 5(a). The grid and polarizers are fabricated on Rogers Duroid boards with a relative dielectric constant of 2.2. The output tuner is a Duroid board with  $\epsilon_r = 10.5$ . A simple transmission-line model for the grid amplifier is shown in Fig. 5(b). For convenience, one-half of the unit cell is used in the analysis, with the result that the characteristic impedances

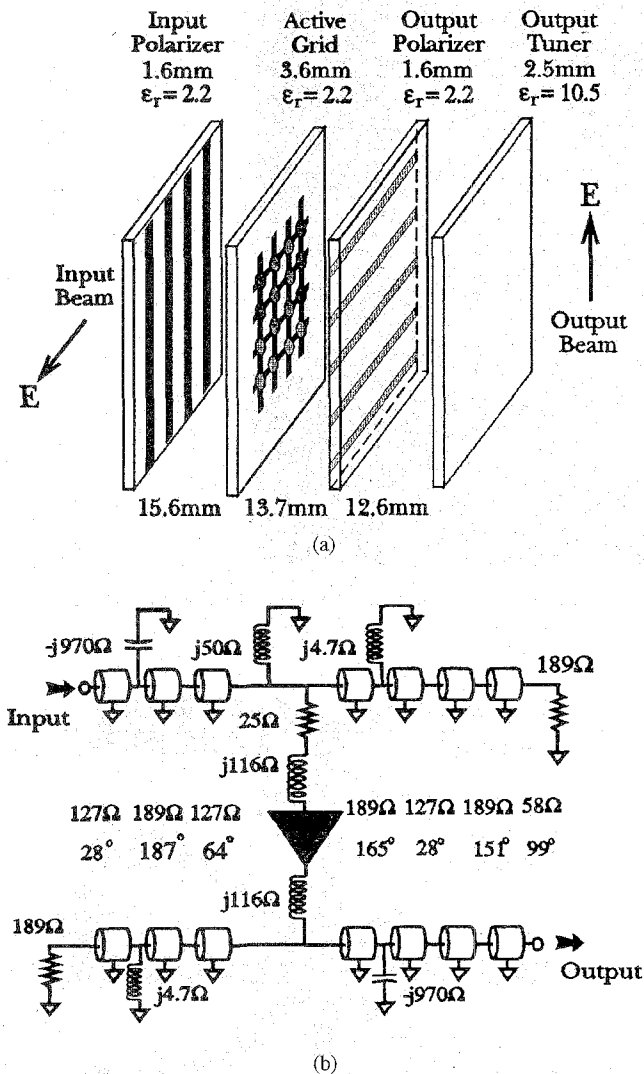


Fig. 5. The assembled grid amplifier (a). The polarizer and tuning-slab positions are adjusted for optimum gain at 10 GHz. (b) Transmission-line equivalent circuit for the grid amplifier at 10 GHz.

are one-half of their usual values. Free-space, then, is represented by 189- $\Omega$  resistors. The various substrates and air gaps appear as transmission lines. The metal-strip polarizers appear in shunt, either as low-impedance inductors or high-impedance capacitors, depending on the polarization. The input and output leads of the grid are modeled as inductors. The meandering drain and source bias lines are modeled as a shunt inductance at the input surface of the grid.

Numerical values for the radiating lead and polarizer reactances are computed by first employing the method of moments to approximate the surface current distribution. We use the point-matching technique with the subsectional basis functions being rectangular for the inductive elements and raised cosines for the capacitive elements [15]. Once an estimate for the surface current has been obtained, the impedance is calculated using the induced emf technique. The numerical value of the reactance associated with the meandering bias lines is empirically determined with the aid of Hewlett-Packard's High Frequency Structure Simulator (HFSS).

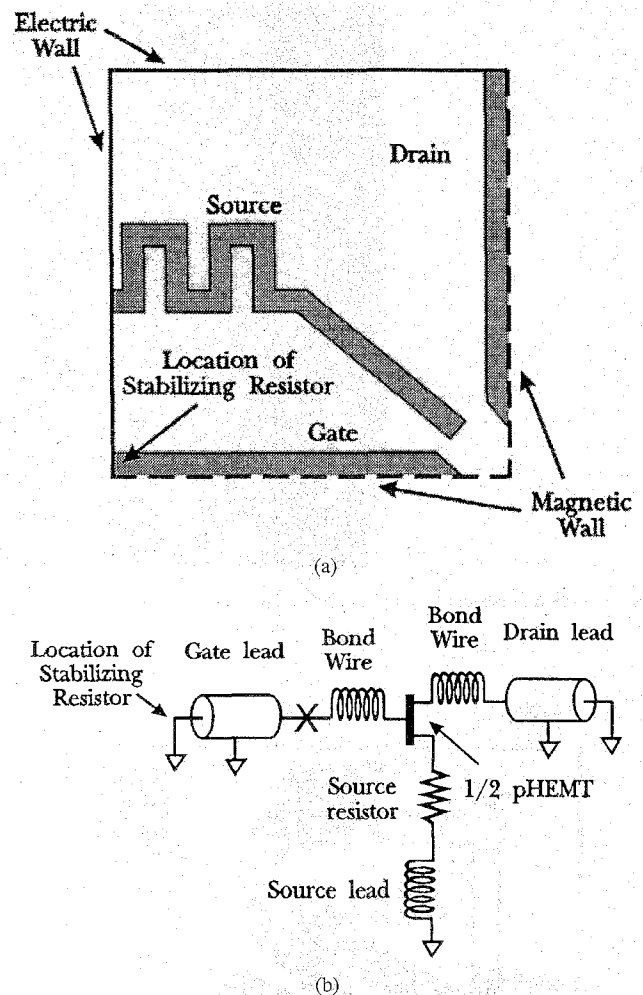


Fig. 6. Quarter cell (a). The boundary conditions are imposed by grid symmetry. (b) Equivalent circuit model for common-mode oscillation. The  $\times$  marks the spot where a circulator is added to determine the oscillation frequency.

Initially, the grid suffered from 8–9 GHz oscillations, depending on the bias. The onset of the oscillations was at a bias current of 9 mA per transistor—well below the transistor's usual operating current. The gain of the amplifier at the onset of oscillation was 3 dB. These oscillations were insensitive to polarizer position and substrate thickness, leading us to conclude that the oscillation was a common-mode oscillation like the one described in [14]. Our model for the common-mode oscillations is shown in Fig. 6. We use this model to predict the stability of the grid. Using the approach of Martinez and Compton [16], we insert a circulator at the node marked  $\times$ . The circular function,  $C$ , is plotted in Fig. 7.  $C$  can be thought of as a measure of the open-loop gain of the circuit. This circuit is unstable at 8.9 GHz because the magnitude of  $C$  is greater than unity and its phase is zero. This is close to where the actual oscillations occurred.

To suppress these oscillations, we placed 50- $\Omega$  resistors in the gate lead, midway between transistor pairs. With these resistors in place, the magnitude of  $C$  is less than unity, so the grid should be stable. After adding the resistors, the grid could be biased to a current of over 15 mA per transistor without

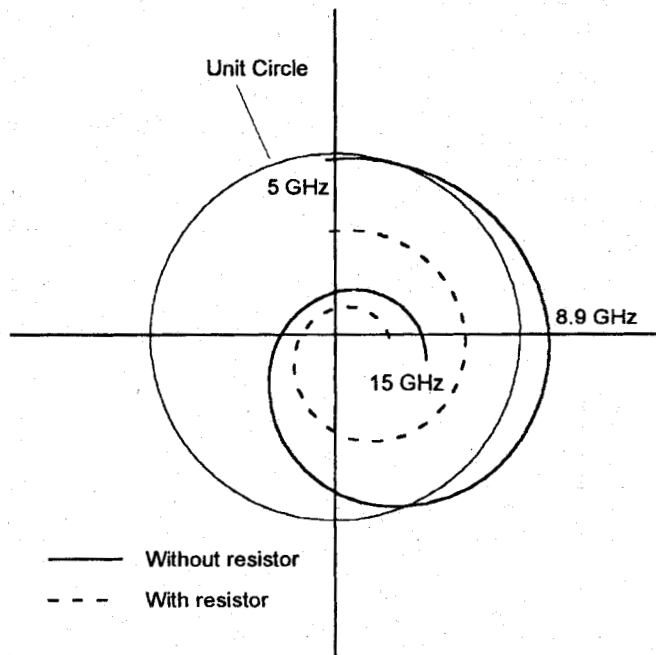


Fig. 7. Circular function of the grid amplifier. Without the stabilization resistors, the grid is unstable at 8.9 GHz. The stabilized amplifier has a gain margin of 3 dB.

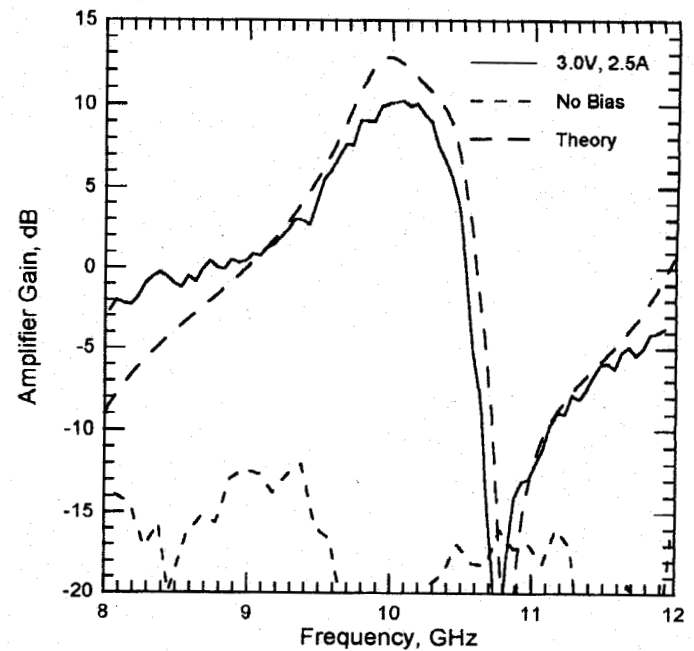
oscillations. Our model indicates that the resistors degrade the gain by 1.5 dB and the noise figure by 0.8 dB.

#### IV. GAIN AND TUNING

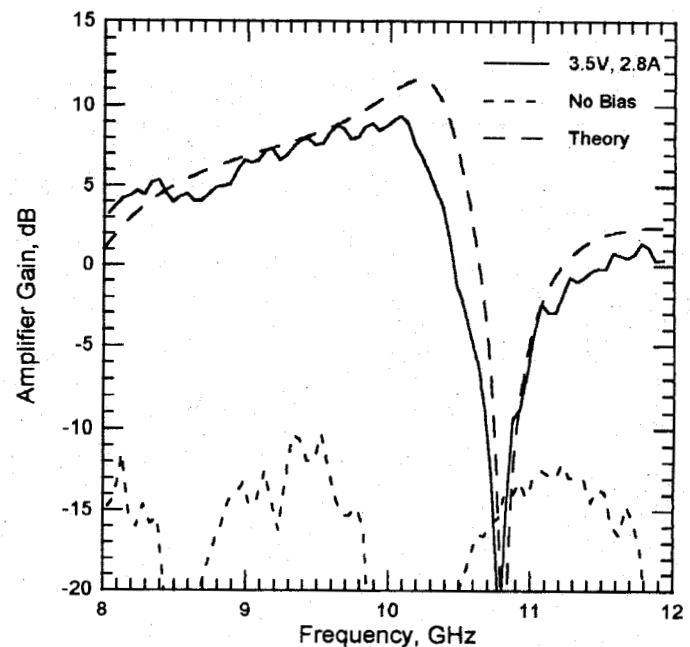
The small-signal gain of the grid was measured by placing the grid in the far field of two cross-polarized horns [9]. The measured gain is shown in Fig. 8(a). The peak gain is 10 dB at 10 GHz. The 3-dB gain bandwidth is 700 MHz. The modeled gain is also plotted, and it agrees well with the measured results. Without bias, the gain of the grid was below -12 dB throughout the entire frequency range. The difference between the biased and unbiased gain is greater than 30 dB. Fig. 8(b) shows the gain with the output tuner removed. The positions of the polarizers are unchanged. The gain is 1 dB less at 10 GHz, but the 3-dB bandwidth is increased to 1.3 GHz. Again, the modeled and measured gain compare well. To some extent, the operating frequency of grid amplifiers can be tuned by simply adjusting the positions of the polarizers and tuners. Fig. 9 shows the gain with the amplifier re-tuned for 9 GHz. The gain is 12 dB at 9 GHz with a 3-dB bandwidth of 1.3 GHz. This corresponds to a 15% bandwidth.

Fig. 10 shows the gain with the grid tuned for 10 GHz as a function of bias current for three different grid drain voltages. The current was varied under constant drain voltage by adjusting the gate voltage. The drain current was increased until the common-mode oscillations reappeared. These results show that a further increase in bias current is not likely to increase the amplifier gain significantly.

To further validate the model, we measured the gain as a function of polarizer position. Fig. 11(a) shows the gain



(a)



(b)

Fig. 8. Grid amplifier gain versus frequency (a). The polarizer and tuner positions are shown in Fig. 5(a). (b) Gain with output tuner removed.

as a function of input polarizer position. The input polarizer actually tunes the output circuit of the grid. Fig. 11(b) shows the gain as a function of output polarizer position. For convenience, the output tuner was removed for this measurement. The tuning curves agree quite well with the modeled results.

#### V. ANGULAR DEPENDENCE

The amplifier's output H-plane radiation pattern is shown in Fig. 12. This measurement was done by fixing the position of the amplifier and input antenna, and sampling the output pat-

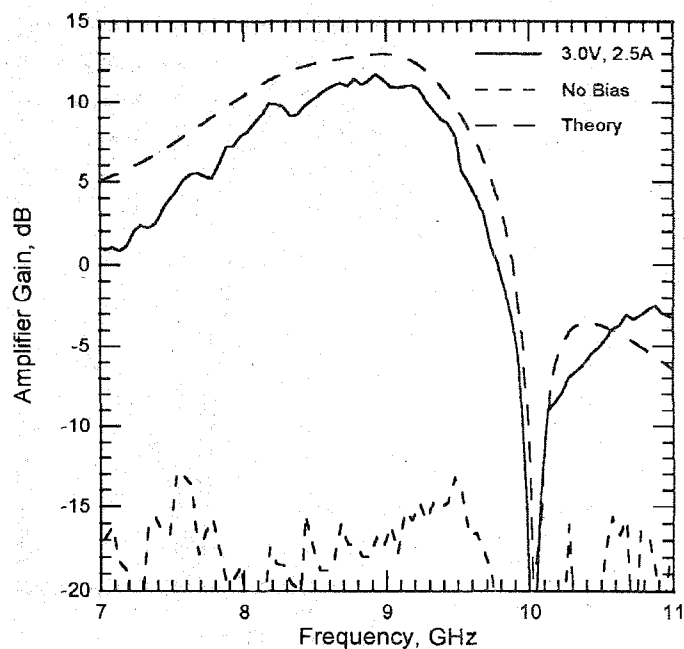


Fig. 9. Amplifier gain with grid tuned for 9 GHz.

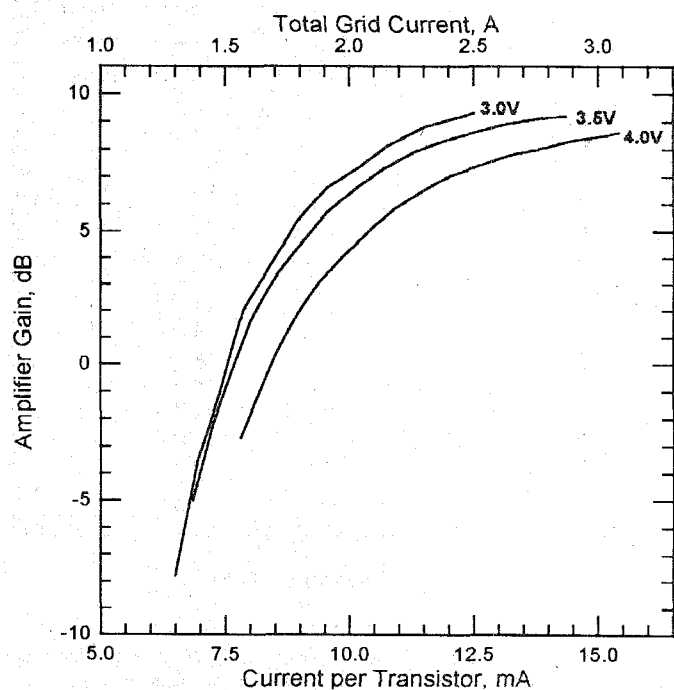
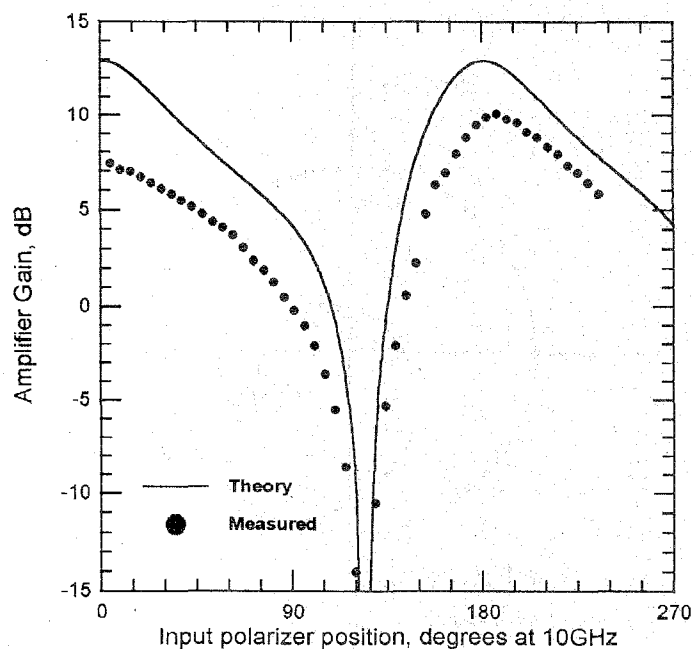


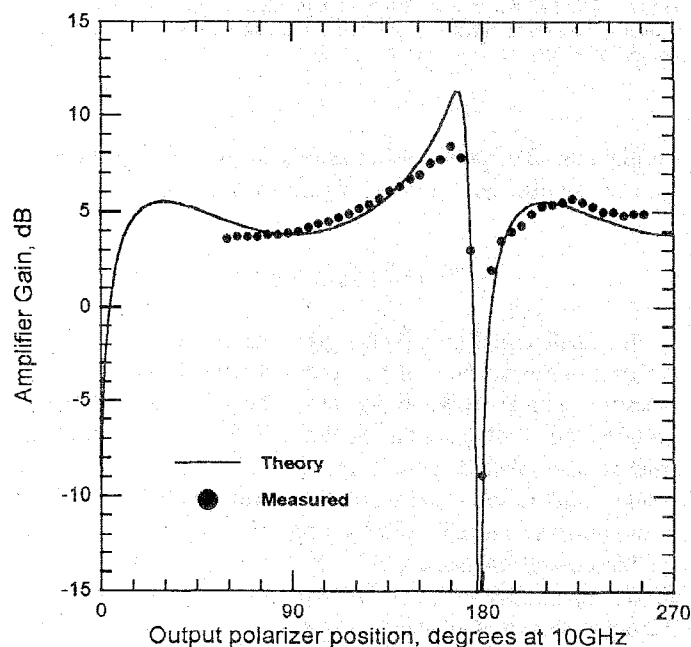
Fig. 10. Amplifier gain at 10 GHz as a function of bias current. The output tuner was not used in this measurement.

tern. For comparison, the theoretical pattern of ten elementary dipoles spaced 7.3 mm apart is also shown. The agreement between the theory and experiment indicates that the radiation pattern is diffraction-limited.

The grid amplifier is a multimode device, and should be capable of amplifying beams at different angles. We measured the angular dependence of the gain by rotating the grid in between two fixed cross-polarized horns. Fig. 13 shows



(a)



(b)

Fig. 11. Amplifier gain at 10 GHz as a function of input (a) and output (b) polarizer position. For convenience, the output tuner was not used for the measurement in (b).

the results at 10 GHz with the output tuner removed for convenience. For both orientations, the grid will amplify beams with incidence angles up to  $25^\circ$  with less than a 3-dB drop in gain. The theoretical curves were generated by scaling the lengths of each of the transmission lines in the gain model by  $\cos \theta_i$ , where  $\theta_i$  is the angle in each material, given by Snell's law. Furthermore, the impedance of each transmission line was multiplied by  $\cos \theta_i$  for TM waves or  $\sec \theta_i$  for TE waves. The pattern was then scaled by a  $\cos^2 \theta$  obliquity factor.

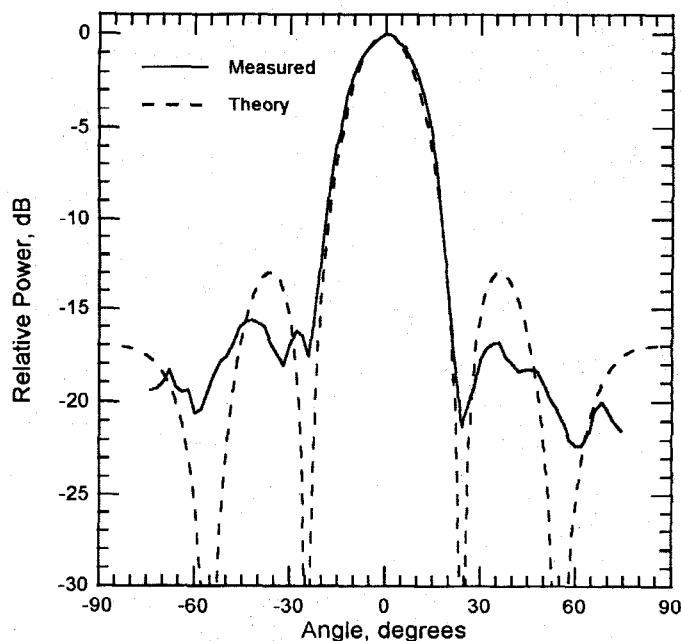


Fig. 12. Output H-plane radiation pattern. The input beam is normal to the grid. Limitations in the measurement setup prohibit measuring the pattern at angles greater than  $75^\circ$ .

Further details can be found in [15]. This theory predicts the pattern well for small angles, but fails at larger angles where the finite area of the grid causes the simple transmission-line model to break down.

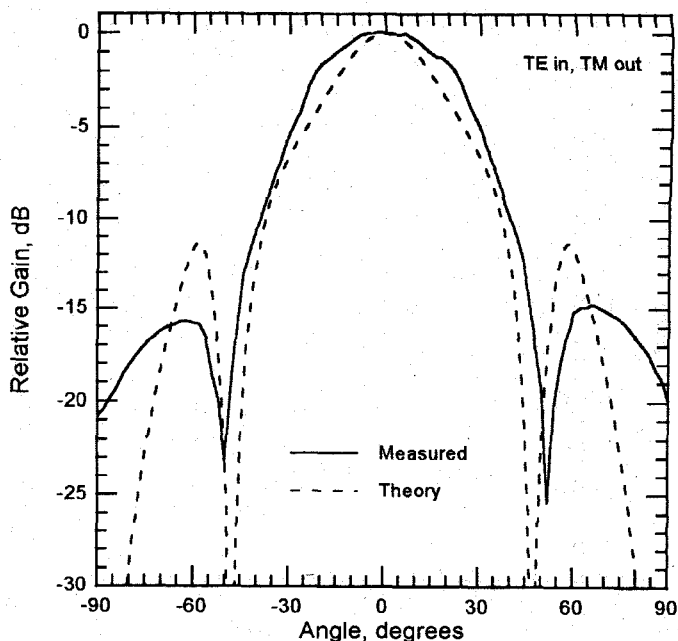
The nulls in the patterns are caused by the input polarizer. These nulls disappear when the polarizer is less than a half-wavelength from the active surface. This is shown in Fig. 14. The peak gain of the grid is 3 dB less with the close polarizer spacing. This may be related to evanescent-wave coupling, as described in [17]. In this configuration, the grid can amplify beams with incident angles up to  $30^\circ$  with less than a 3-dB gain reduction. These patterns approach the  $\cos^2 \theta$  obliquity limit.

## VI. NOISE

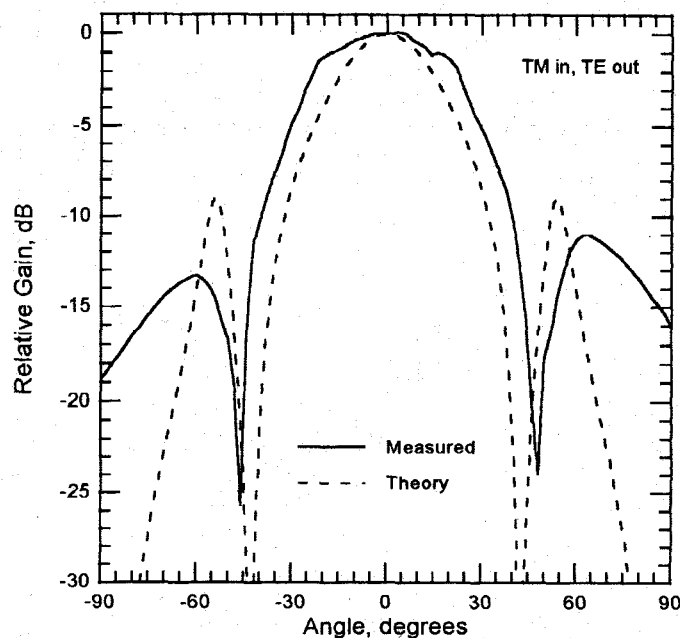
The noise figure was measured in the far field [9]. We used a Noise Com NC3206 noise source with an Excess Noise Ratio (ENR) of 36 dB at 10 GHz. Fig. 15 shows the result. The minimum noise figure is 3 dB with 3 V bias. This is comparable to the noise figure measured in [4] and is a 4-dB improvement over the HBT grid reported in [9]. The predicted minimum noise figure is given by Fukui's equation [18]

$$F_{\min} = 1 + 2\pi f K C_{gs} \sqrt{\frac{R_s + R_g + R_{st}}{g_m}} \quad (1)$$

where the transistor source resistance  $R_s$  is  $4.7 \Omega$ , the transistor gate resistance  $R_g$  is  $2.4 \Omega$ , and the stabilizing gate resistance  $R_{st}$  is  $25 \Omega$ . Assuming that the material parameter  $K$  is 5,  $F_{\min} = 1.6$  dB. As shown in the figure, the stabilizing gate resistors degrade  $F_{\min}$  by about 1 dB.



(a)



(b)

Fig. 13. Relative amplifier gain at 10 GHz as a function of angle. (a) Input TE polarization, output TM. (b) Input TM polarization, output TE.

## VII. POWER

The power saturation of the grid was also measured in the far field [9]. We used a high-power travelling-wave tube (TWT) amplifier as the source. For this experiment, we tuned the grid amplifier for 9 GHz to match the TWT's output frequency. Fig. 16 shows the result. The peak output power is 3.7 W at 7 V bias. This is an 8-dB improvement over the HBT amplifier grid [9], and the highest reported output power for a quasi-optical amplifier. The dc power supplied to the grid

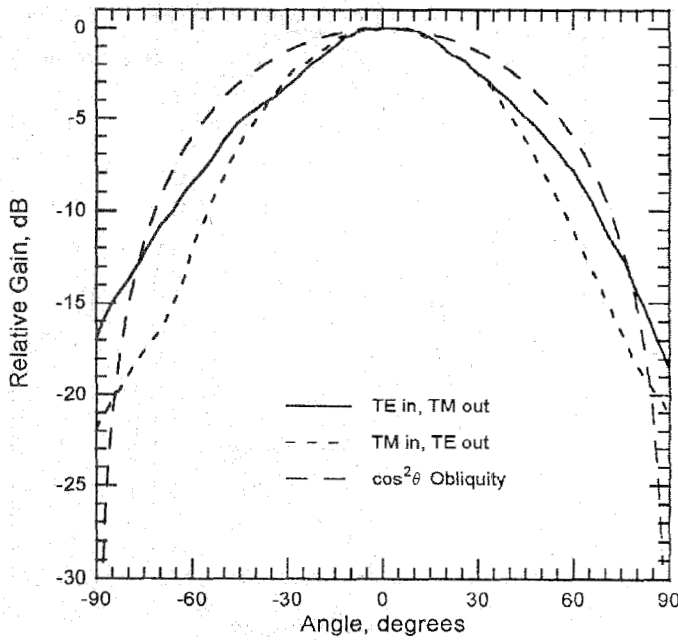


Fig. 14. Relative amplifier gain at 10 GHz as a function of angle with the input polarizer moved closer by one-half wavelength. The peak gain is reduced by 3 dB.

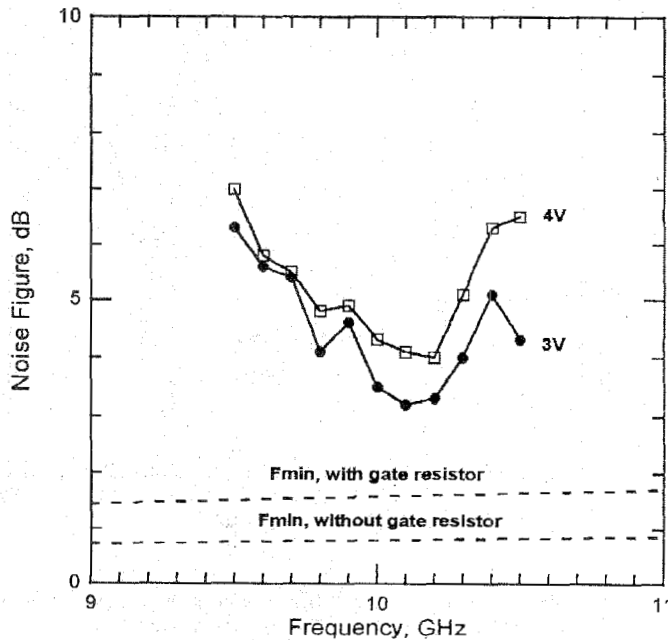


Fig. 15. Measured noise figure at two different bias points.

was 24.5 W. To avoid overheating, we only biased the grid for several seconds at a time.

Fig. 17 shows the power-added efficiency for the grid biased at 3 V and 7 V. The peak efficiency is 12%. This is more than a factor of two higher than the HBT grid [9]. Discounting the dc power lost in the 100- $\Omega$  source resistors, we can figure the efficiency for the pHEMT alone. The peak device power-added efficiency is 20% for the 3 V bias at an output power of 1 W.

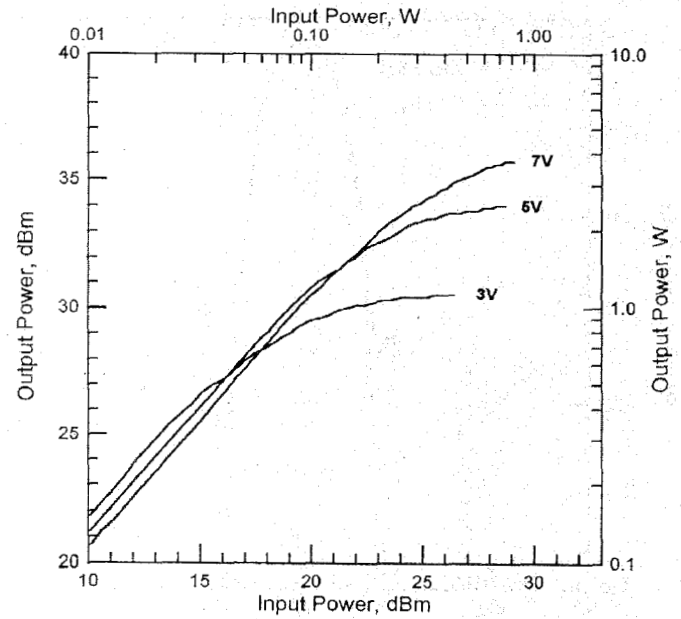


Fig. 16. Output power of the amplifier grid at 9 GHz.

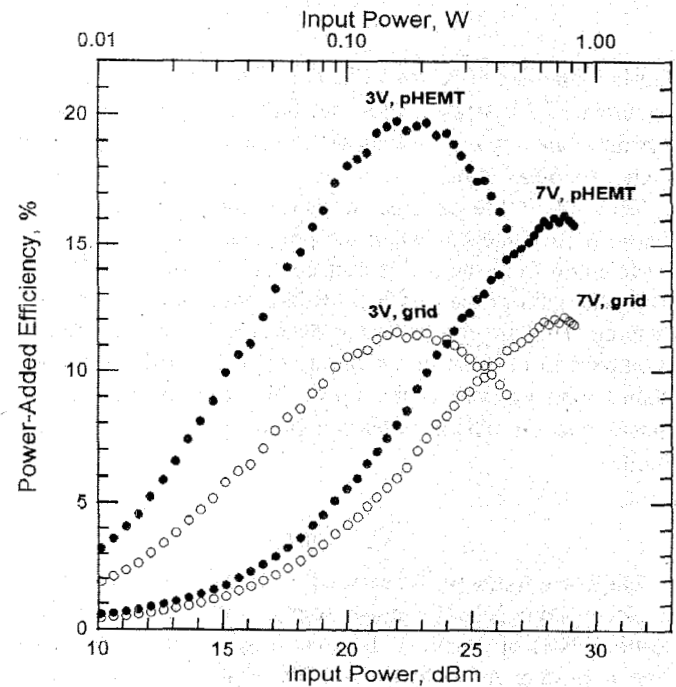


Fig. 17. Power-added efficiency for the amplifier grid at 9 GHz. The solid circles are the efficiency for the pHEMT alone, discounting the dc power lost in the 100- $\Omega$  source resistors.

## VIII. CONCLUSION

We have demonstrated a 100-element X-band pHEMT grid amplifier. The grid has a gain of 10 dB at 10 GHz, and 12 dB at 9 GHz with a 15% bandwidth. The minimum noise figure is 3 dB at 10 GHz. The maximum output power is

3.7 W at 9 GHz, with a power-added efficiency of 12%. The grid will amplify beams with incidence angles up to 25°. We have also presented a transmission-line model for the grid that predicts gain at normal and oblique incidence with input and output tuning. Furthermore, we have developed a stability model that is useful for suppressing common-mode oscillations.

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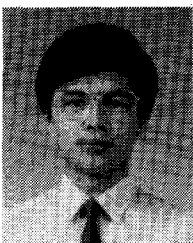
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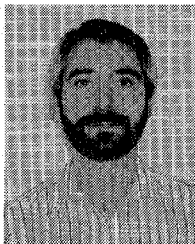




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publications to the technical literature.

Dr. Rutledge was Distinguished Lecturer for the Antennas & Propagation Society and won the Microwave Prize of the Microwave Theory & Techniques Society and the Teaching Award of the Associated Students of Caltech.